

ATTORNEY DOCKET NO.: 16627/09000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of)
JOSEPH E. JOHNSON) Art Unit: 2124
Serial No.: 09/873,768)
Filed: June 4, 2001)
Title: APPARATUS AND METHOD FOR)
HANDLING LOGICAL AND NUMERICAL)
UNCERTAINTY UTILIZING NOVEL)
UNDERLYING PRECEPTS)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. 1.56, 1.97, and 1.98, Applicant is submitting the art shown on the attached list for consideration by the Examiner.

I. Timing of the Submission (*check one*)

This Information Disclosure Statement is being submitted:

- ☐ Within three months of filing the application (Rule 97(b) (1)).
- ☐ Within three months of the international application entering the national stage (Rule 97(b) (2)).
- ☐ Before mailing of a first Office Action (Rule 97(b) (3)).
- ☒ Before mailing of a final action or notice of allowance, and accompanied by a fee (Rule 97(c) (2)).
- ☐ Before mailing of a final action or notice of allowance, and accompanied by a Rule 97(e) statement (Rule 97(c) (1)).

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- ☐ After issuance of a Notice of Allowance but before payment of the issue fee, and accompanied by: (1) a Rule 97(e) statement, (2) a petition requesting consideration of the information disclosure statement, and (3) a fee (Rule 97(d)).

II. Rule 97(e) Statement (check one if required by item checked above)

- ☐ Each item contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ No item contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application nor was any such item known by any individual associated with the filing or prosecution of the patent application more than three months prior to the filing of the Information Disclosure Statement.

III. Copies of the References (check one or both as applicable)

- ☒ Copies of all (insert "all" or number of enclosed references) listed references are enclosed.
- ☐ Copies of _____ (insert "all" or number of references not enclosed) listed references are not enclosed because they are not required pursuant to Rule 98(d). The listed references were previously cited by or submitted to the Office in application serial number _____, filed _____, which is relied upon for an earlier filing date.

IV. Rule 98(a) (3) Statement for Non-English language references (if required)

Pursuant to Rule 98(a)(3), the following is a concise explanation of the relevance of each patent, publication, or other information listed that is not in the English language:

V. Mailing Certificate (check one)

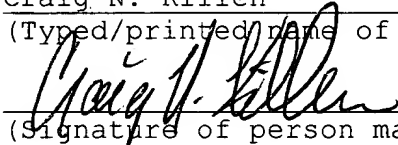


First Class Mail Certificate

I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 6, 2005.

Craig N. Killen

(Typed/printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)



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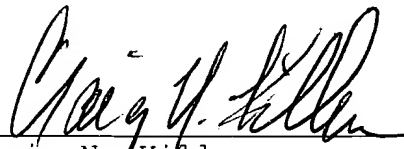
I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please charge any fees required for consideration of the
art cited herein to our deposit account no. 50-1196.

Respectfully submitted,

Date:

7/6/05



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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Docket Number Optional 16627/09000	Application Number 09/873,768
	Applicant Joseph E. Johnson	
	Filing Date June 4, 2001	Group Art Unit 2124

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	U.S. PATENT DOCUMENT NUMBER	ISSUE/FILING DATE	NAME OF PATENTEE/APPLICANT	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,061,734	05/09/00	London			
	6,032,218	02/29/00	Lewin et al.			
	5,924,085	07/13/99	Werbos			
	5,787,407	07/28/98	Viot			
	5,751,915	05/12/98	Werbos			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report dated 14 September 2001 from corresponding PCT application
	Preliminary Examination Report dated 19 April 2002 from corresponding PCT application
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.